Abstract

Some LLN subnets are expected to scale up to the thousands of nodes and hundreds of routers. This paper proposes an IPv6 version of the Backbone Router concept that enables such a degree of scalability using a high speed network as a backbone to the subnet.

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This Internet-Draft will expire on August 29, 2013.

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Table of Contents

1. Introduction .................................................. 3
2. Terminology ................................................... 5
3. Overview ....................................................... 7
4. New types and formats ....................................... 9
   4.1. The Enhanced Address Registration Option (EARO) .... 9
5. Backbone Router Operations ................................. 11
   5.1. Backbone Link and Router ............................... 11
   5.2. ND Proxy Operations .................................. 11
   5.3. Claiming and Defending Addresses ..................... 12
   5.4. Conflict Resolution .................................... 13
   5.5. Assessing an entry ..................................... 14
6. Security Considerations ..................................... 15
7. IANA Considerations ......................................... 16
8. Acknowledgments .............................................. 17
9. References ..................................................... 18
   9.1. Normative References .................................. 18
   9.2. Informative References ................................ 19
   9.3. External Informative References ....................... 19
Author’s Address ............................................... 20
1. Introduction

In order to meet industrial requirements for non-critical monitoring, alerting, supervisory control, open loop control and some closed loop control applications, both \[ISA100.11a\] and wireless \[HART\] standards leverage advanced technology at every layer, including the Time Synchronized Channel Hopping (TSCH) Medium Access Control (MAC) operation that enables deterministic behaviours for time-sensitive flows. Additionally, \[ISA100.11a\] endorsed the 6LoWPAN Header Compression \[RFC6282\] format for the network header, making it possible to utilize IPv6 based protocols such as BACnet IP, Profibus IP and Modbus TCP without significant changes to those protocols.

\[ISA100.11a\] has introduced the concept of a Backbone Router that would interconnect small LLNs over a high speed Backbone network and scale a single ISA100.11a network up to the thousands of nodes. In that model the LLNs and the backbone form a single subnet in which nodes can move freely without the need of renumbering, and the Backbone Router is a special kind of Border Router designed to manage the interaction between the LLNs and the backbone at layer 3. Similar scalability requirements exist in the metering and monitoring industries. In a network that large, it is impossible for a node to register to all Border Routers as suggested for smaller topologies in Neighbor Discovery Optimization for Low-power and Lossy Networks \[RFC6775\].

This paper specifies IP layer functionalities that are required to implement the concept of a Backbone Router with IPv6, in particular the application of the "IP Version 6 Addressing Architecture" \[RFC4291\], "the Neighbor Discovery Protocol" \[RFC4861\] and "IPv6 Stateless Address Autoconfiguration" \[RFC4862\].

The use of EUI-64 based link local addresses, Neighbor Discovery Proxying \[RFC4389\], Neighbor Discovery Optimization for Low-power and Lossy Networks \[RFC6775\], the IPv6 Routing Protocol for Low power and Lossy Networks \[RFC6550\] , the mixed mode of Efficiency aware IPv6 Neighbor Discovery Optimizations \[I-D.chakrabarti-nordmark-6man-efficient-nd\] and Optimistic Duplicate Address Detection \[RFC4429\] are discussed. Also, the concept of Backbone Link is introduced to implement the backbone network that was envisioned by ISA100.11a.

This operation of the Backbone Router requires that some protocol operates over the LLNs from which node registrations can be obtained, and that can disseminate the location of the backbone Router over the LLN. Further expectations will be detailed.

The way the PAN IDs and 16-bit short addresses are allocated and
distributed in the case of an 802.15.4 network is not covered by this specification. Similarly, the aspects of joining and securing the network are out of scope. The way the nodes in the LLN learn about their Backbone Router depends on the protocol used in the LLN. In the case of RPL, a Border Router is the root of the DODAG that it serves and represents all nodes attached to that DODAG.
2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

Readers are expected to be familiar with all the terms and concepts that are discussed in "Neighbor Discovery for IP version 6" [RFC4861], "IPv6 Stateless Address Autoconfiguration" [RFC4862], "IPv6 over Low-Power Wireless Personal Area Networks (6LoWPANs): Overview, Assumptions, Problem Statement, and Goals" [RFC4919], Neighbor Discovery Optimization for Low-power and Lossy Networks [RFC6775] and "Transmission of IPv6 Packets over IEEE 802.15.4 Networks" [RFC4944].

Readers would benefit from reading "Mobility Support in IPv6" [RFC3775], "Neighbor Discovery Proxies (ND Proxy)" [RFC4389] and "Optimistic Duplicate Address Detection" [RFC4429] prior to this specification for a clear understanding of the art in ND-proxying and binding.

Additionally, this document uses terminology from [I-D.ietf-roll-terminology], and introduces the following terminology:

**Backbone**  This is an IPv6 Backbone link that interconnects 2 or more Backbone Routers. It is expected to be deployed as a high speed backbone in order to federate a potentially large set of LLNS. Also referred to as a LLN backbone or Backbone network.

**Backbone Router**  An IPv6 router that federates the LLN using a Backbone link as a backbone. A BBR acts as a 6LoWPAN Border Routers (6LBR) and an Energy Aware Default Router (NEAR).

**Extended LLN**  This is the aggregation of multiple LLNs as defined in [RFC4919] interconnected by a Backbone Link via Backbone Routers and forming a single IPv6 link.

**Energy-Constrained Node**  An IPv6 node that operates ND registration in order to save energy. This can be a LLN node, or an Efficiency-Aware Host (EAH) on the backbone.

**Binding**  The association of the Energy-Constrained Node IPv6 address and Interface ID with associated proxying states including the remaining lifetime of that association.
Registration  The process during which a Energy-Constrained Node injects its address in a protocol through which the Border Router can learn the address and proxy ND for it.

Primary BBR

The BBR that will defend a registered address for the purpose of DAD over the backbone

Secondary BBR

A BBR to which the address is registered. A Secondary Router MAY advertise the address over the backbone and proxy for it.
3. Overview

The scope of this draft is a Backbone Link that federates multiple LLNs as a single IPv6 subnet. Each LLN in the subnet is anchored at a Backbone Router (BBR). The Backbone Routers interconnect the LLNs over the Backbone Link and emulate that the LLN nodes are present on the Backbone by proxy-ND operations. An LLN node can move freely from an LLN anchored at a Backbone Router to an LLN anchored at another Backbone Router on the same backbone and conserve any of the IPv6 addresses that it has formed. In a same fashion, an Efficiency-Aware Host (EAH) residing on the Backbone may change its BBR - acting as IPv6 ND-efficiency-aware Router (NEAR) - and conserve its addresses with no disruption.

Energy-Constrained Nodes are often associated with radios and therefore may change their point of attachment in the network. Virtual devices - typically virtual machines in a datacenter - also move though in a different fashion, from a physical device to the next. In the case if a movement, it might be difficult for Stateful devices in the network such as the NEAR and SAVI switches to differentiate a duplication from a movement. And if indeed it is a movement, then it might be difficult to select to freshest information to know where the device actually is.
The Backbone Link is used as reference for Neighbor Discovery operations, by extending the concept of a Home Link as defined in [RFC3775] for Mobile IPv6. In particular, Backbone Routers perform ND proxying for the Energy-Constrained Nodes in the LLNs they own through a node registration.

The Backbone Router operation is compatible with that of a Home Agent. This enables mobility support for LLN devices that would move outside of the network delimited by the Backbone link. This also enables collocation of Home Agent functionality within Backbone Router functionality on the same interface of a router.

A Energy-Constrained Node registers and claims ownership of its address(es) using proactive acknowledged registration exchanges with a neighboring router. In case of a complex LLN topology, the router might be an intermediate LLN Router that relays the registration to the BBR (acting as LBR) as described in [RFC6775]. In turn, the Backbone Routers operate as a distributed database of all the Energy-Constrained Nodes whether they reside on the LLNs or the backbone, and use the Neighbor Discovery Protocol to share that information across the Backbone in the classical ND reactive fashion.

For the purpose of Neighbor Discovery proxying, this specification documents the LLN Master Neighbor Registry, a conceptual data structure that is similar to the MIP6 binding cache. The Master Neighbor Registry is fed by redistributing addresses learnt from the registration protocol used over the LLN.

Another function of the Backbone Router is to perform 6LoWPAN compression and expansion between the LLN and the Backbone Link and ensure MTU compatibility. Packets flow uncompressed over the Backbone Link and are routed normally towards a Gateway or an Application sitting on the Backbone link or on a different link that is reachable over the IP network.
4. New types and formats

The specification expects that the protocol running on the LLN can provide a sequence number called Transaction ID (TID) that is associated to the registration. When a node registers to multiple BBRs, it is expected that the same TID is used, to enable the BBR to correlate the registrations as being a single one, and differentiate that situation from a movement. Otherwise, the resolution makes it so that only the most recent registration was perceived from the highest TID is kept.

The specification expects that the protocol running on the LLN can provide a unique ID for the owner of the address that is being registered. The Owner Unique ID enables to differentiate a duplicate registration from a double registration. In case of a duplicate, the last registration looses. The Owner Unique ID can be as simple as a EUI-64 burnin address, if the device manufacturer is convinced that there can not be a manuf error that would cause duplicate EUI64 addresses. Alternatively, the unique ID can be a hash of supposedly unique information from multiple orthogonal sources, for instance:

- Burn in address.
- configured address, id, security keys...
- (pseudo) Random number, radio link metrics ...

In any fashion, it is recommended that the device stores the unique Id in persistent memory. Otherwise, it will be prevented to reregister after a reboot that would cause a loss of memory until the Backbone Router times out the registration.

The unique ID and the sequence number are placed in a new ND option that is used by the Backbone Routers over the Backbone link to detect duplicates and movements. The option format is as follows:

4.1. The Enhanced Address Registration Option (EARO)

This option is designed to be used with standard NS and NA messages between backbone Routers over a backbone link and may be used between LRs and LBRs over the LLN. By using this option, the binding in question can be uniquely identified and matched with the Master Neighbor Registry entries of each Backbone Router.
Option Fields

Type:

Length: 2

T: One bit flag. Set if the next octet is a used as a TID.

N: One bit flag. Set if the device moved. If not set, the router will refrain from sending gratuitous NA(O) over the backbone, for instance after the DAD operation upon entry creation.

Reserved: This field is unused. It MUST be initialized to zero by the sender and MUST be ignored by the receiver.

TID: 1-byte integer; a transaction id that is maintained by the device and incremented with each transaction. It is recommended that the device maintains the TID in a persistent storage.

Owner Unique Identifier: A globally unique identifier for the host’s interface associated with the binding for the NS/NA message in question. This can be the EUI-64 derived IID of an interface, which can be hashed with other supposedly unique information from multiple orthogonal sources.
5. Backbone Router Operations

5.1. Backbone Link and Router

The Backbone Router is a specific kind of Border Router that performs proxy Neighbor Discovery on its backbone interface on behalf of the nodes that it has discovered on its Low Power Lossy Network interfaces. On the LLN side, the Backbone Router acquires its states about the nodes by terminating protocols such as RPL [RFC6550] or 6LoWPAN ND [RFC6775] as a LLN Border Router. It is expected that the backbone is the medium used to connect the subnet to the rest of the infrastructure, and that all the LBRs are connected to that backbone and support the Backbone Router feature as specified in this document.

The backbone is expected to be a high speed, reliable Backbone link, with affordable multicast capabilities, such as an Ethernet Network or a fully meshed NBMA network with multicast emulation, which allows a full support of classical ND as specified in [RFC4861] and subsequent RFCs. In other words, the backbone is not a LLN. Still, some restrictions of the attached LLNs will apply to the backbone. In particular, it is expected that the MTU is set to the same value on the backbone and all attached LLNs.

5.2. ND Proxy Operations

This specification enables a Backbone Router to proxy Neighbor Discovery operations over the backbone on behalf of the nodes that are registered to it, allowing any device on the backbone to reach an Energy-Constrained Node as if it was on-link.

In the context of this specification, proxy ND means:

- defending a registered address over the backbone using NA messages with the Override bit set
- advertising a registered address over the backbone using NA messages, asynchronously or as a response to a Neighbor Solicitation messages.
- Looking up a destination over the backbone in order to deliver packets arriving from the LLN using Neighbor Solicitation messages.
- Forwarding packets from the LLN over the backbone, and the other way around.
Eventually triggering a look up for a destination over the LLN that would not be registered at a given point of time, or as a verification of a registration.

The draft introduces the concept of primary and secondary BBRs. The concept is defined with the granularity of an address, that is a given BBR can be primary for a given address and secondary or another one, regardless on whether the addresses belong to the same node or not. The primary Backbone Router is in charge of protecting the address for DAD over the Backbone. Any of the Primary and Secondary BBR may claim the address over the backbone, since they are all capable to route from the backbone to the LLN device.

When the protocol used to register the nodes over the LLN is RPL [RFC6550], it is expected that one BBR acts as virtual root coordinating LLN BBRs (with the same DODAGID) over the non-LLN backbone. In that case, the virtual root may act as primary BBR for all addresses that it cares to support, whereas the physical roots to which the node is attached are secondary BBRs. It is also possible in a given deployment that the DODAGs are not coordinated. In that case, there is no virtual root and no secondary BBR; the DODAG root is primary all the nodes registered to it over the backbone.

When the protocol used to register the nodes over the LLN is 6LoWPAN ND [RFC6775], the Backbone Routers act as a distributed DAD table, using classical ND over the backbone to detect duplication. This specification requires that:

1. Registrations for all addresses that can be required to reach the device over the backbone, including registrations for IPv6 addresses based on burn-in EUI64 addresses are passed to the DAD table.

2. Nodes include the EARO in their NS used for registering those addresses and the LRs propagate that option to the LBRs.

A false positive duplicate detection may arise over the backbone, for instance if the node registers to more than one LBR, or if the node has moved. Both situations are handled gracefully unbeknownst to the node. In the former case, one LBR becomes primary to defend the address over the backbone while the others become secondary and may still forward packets back and forth. In the latter case the LBR that receives the newest registration wins and becomes primary.

5.3. Claiming and Defending Addresses

Upon a new or an updated registration, the BBR performs a DAD operation. If either a TID or a OUI is available, the BBR places
them in a EARO in all its ND messages over the backbone. If content is not available for a given field, it is set to 0.

If a primary already exists over the backbone, it will answer the DAD with an RA.

- If a RA is received with the O bit set, the primary rejects the DAD and the DAD fails. The BBR needs to inform the LLN protocol that the address is a duplicate.

- If a RA is received with the O bit reset, the primary accepts the BBR as secondary and DAD succeeds. The BBR may install or maintain its proxy states for that address. This router MAY advertise the address using a NA. During a registration flow, it MAY set the O bit.

- If no RA is received, this router assumes the role of primary and DAD succeeds. The BBR may install or maintain its proxy states for that address. This router advertises the address using a NA with the O bit reset.

When the BBR installs or maintains its proxy states for an address, it sends an NA with a SLLA option for that address. The Primary BR MAY set the O bit if it wished to attract the traffic for that address.

5.4. Conflict Resolution

A conflict arise when multiple BBRs get a registration from a same address. This situation might arise when a node moves from a BBR to another, when a node registers to multiple BBRs, or in the RPL case when the BRs belong to a single coordinated DODAG.

The primary looks up the EARO in ND messages with a SLLA option.

- If there is no option, normal ND operation takes place and the primary defends the address with a NA with the O bit set, adding the EARO with its own information.

- If there is an EARO and the OUIs are different, then the conflict apparently happens between different nodes, and the primary defends the address with a NA with the O bit set, adding the EARO with its own information. If the TID in the EARO is in the straight part of the lollipop, it is possible that the request comes from the same node that has rebooted and formed a new OUI. The primary BBR may assess its registered entry prior to answering.
If there is a EARO and the OUIs are the same:

* If the TID in the ND message is newer than the most recent one known by the primary router, this is interpreted as a node moving. The primary cleans up its states and stops defending the address.

* If the TID in the ND message is the same as the most recent one known by the primary router, this is interpreted as a double registration. In case of a DAD, the primary responds with a NA with the O bit reset, to confirm its position as primary, including the EARO.

* If the TID in the ND message is older than the most recent one known by the primary router, this is interpreted as a stale information. The primary defends the address with a NA with the O bit set, adding the EARO with its own information.

* If the TIDs are very different (more than 16 apart, discounting the straight part of the lollipop), it is impossible to resolve for sure. The primary BBR should assess its registered entry prior to answering.

5.5. Assessing an entry

In a number of cases, it might happen that the information at the primary BBR is stale and obsolete. In particular, a node with no permanent storage might reboot and form a different OUI, in which case the information at the BBR is inaccurate and should be removed. In another case, the BBR and the node have been out of reach for too long and the TID that the BBR maintains is so far out that it is impossible to compare it with that stored at the BBR.

In such situation, the primary Backbone Router has the possibility to assess the registration. this is performed by the protocol in use to register the node over the LLN.

When the protocol used to register the nodes over the LLN is RPL [RFC6550], the BBR sends a targetted DIS to the registered address over the registered path. A DAO back indicates that the current registration is still valid and provides the adequate data to resolve the conflict.

When the protocol used to register the nodes over the LLN is 6LoWPAN ND [RFC6775].
6. Security Considerations

This specification expects that the link layer is sufficiently protected, either by means of physical or IP security for the Backbone Link or MAC sublayer cryptography. In particular, it is expected that the LLN MAC provides secure unicast to/from the Backbone Router and secure BBRoadcast from the Backbone Router in a way that prevents tempering with or replaying the RA messages.

The use of EUI-64 for forming the Interface ID in the link local address prevents the usage of Secure ND ([RFC3971] and [RFC3972]) and address privacy techniques. Considering the envisioned deployments and the MAC layer security applied, this is not considered an issue at this time.
7. IANA Considerations

A new type is requested for an ND option.
8. Acknowledgments

TBD
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